

## Proposed Projects Involving the General Fund (Cash or Bonds)

(amounts in **bold** are the total budget)

### 2012-2014

(Projects listed by cabinet priority; descriptions are from the agency submission)

- 1. Emergency Repair, Maintenance, & Replacement Fund** **\$12,500,000**  
Funds from the Emergency Repair, Maintenance, and Replacement Fund are used (per KRS 45.780) to fund unforeseen repair, maintenance, and replacement projects for state-owned facilities throughout the Commonwealth. (C-PI) *FSS Priority #1*  
CPAB Staff Notes
  - *Proposed funding level is set at the same level as the Cabinet's 2010-2016 Six Year Plan.*
  - *Additional funding in the same amounts is proposed for 2014-16 and 2016-18.*
  - *The balance in this account, as of June 2011, was \$5,594,296*
  
- 2. Miscellaneous Maintenance Pool, 2012-2014** **\$8,000,000**  
The Department for Facilities & Support Services maintains 85+ facilities in Frankfort and outlying counties. These facilities require major maintenance for such things as HVAC, concrete repairs, parking lot and other asphalt repairs, construction efforts, etc. This pool will be utilized to perform such projects. (C-PI) *FSS Priority #3*  
CPAB Staff Notes
  - *The 2010-2012 budget authorized a \$5,225,000 bond-funded Maintenance Pool for the agency, a reduction of \$775,000 in funding from the 2008-2010 budget.*
  - *Maintenance Pool funding is proposed in 2014-16 and 2016-18 (\$8,000,000 bond funds each biennium).*
  
- 3. Maintenance Pool-Statewide Deferred** **\$12,500,000**  
This pool is recommended for use on a priority basis for all state agencies and universities. Priorities will be selected by the Secretary of the Finance and Administration Cabinet in consultation with the State Budget Director and the Commissioner of the Department for Facilities & Support Services. Eligible projects may include deferred maintenance and government mandates. Funds may not be expended for new project construction. (C-PI) *FSS Priority #4*  
CPAB Staff Notes
  - *Proposed funding level is set at the same level as the Cabinet's 2008-2014 Six Year Plan.*
  - *Additional funding in the same amounts is proposed for 2014-16 and 2016-18.*
  - *The balance in this account, as of June 2011, was \$247,412*

- 4. Capital Const. & Equip Purchase Contingency Fund** **\$12,500,000**  
 Contingency fund for unexpected, unforeseen capital construction project expenditures and/or major equipment purchases (per KRS 45.770). (C-PI) FSS Priority #2  
CPAB Staff Notes
- *Proposed funding level is the same level as the Cabinet’s last plan.*
  - *Additional funding is also proposed for 2010-12 and 2012-14.*
  - *The balance in this account, as of June 2011, was \$15,188*
- 5. Enterprise Identity and Access Management** **\$8,745,000**  
 This initiative aligns with the Commonwealth’s key programs and will significantly simplify business processes for the large percentage of the citizens of the Commonwealth that interact with state services or the state portal, Kentucky.gov, while strengthening the state’s computing and network infrastructure, making it more safe, secure, and reliable. COT Priority #1  
CPAB Staff Notes
- *New project request*
  - *This is a three-phase project. Phase Two is proposed for the 2014-16 biennium (\$8,745,000 BF). There is no funding request for Phase Three.*
- 6. Repair Site Infrastructure Capital Plaza Complex** **\$2,500,000**  
 The Capital Plaza Site is in need of some repairs to old systems. This project will address, but not be limited to, the following: repair heating, cooling, plumbing, electrical, concrete, and plaza deck elements/ systems required to continue to have a safe and reliable operation of the Capital Plaza Complex. (C-PI) FSS Priority #5  
CPAB Staff Notes
- *New project request*
  - *Additional funding is proposed for 2014-16 and 2016-18 (\$2,500,000 each biennium).*
  - *This project, for the first biennium, would not be needed if the “Renewal of Capital Plaza Complex” project (\$82,920,000 BF, Priority No. 17) is funded and partially completed.*
- 7. Statewide Microwave Network Maintenance (KEWS)** **\$5,850,000**  
 The Kentucky Emergency Warning System (KEWS) is a 145 site, highly survivable and redundant microwave communications network carrying radio control, data, video and emergency voice traffic for federal, state, and local agencies. As part of the ongoing maintenance necessary to run this network at such a high rate of efficiency, it is required to replace, upgrade, and conduct regular maintenance on all equipment, equipment shelters, radio towers, and grounds. (IT) COT Priority #2  
CPAB Staff Notes
- *New project request*
  - *The individual cost components of this project include: shelter replacements – \$2,250,000; relamping FAA tower warning lights - \$350,000; tower strengthening, painting and repair - \$ 1,750,000; and general site maintenance - \$500,000.*

- 8. MFE Scanner Replacement** **\$1,500,000**  
 Purchase and installation of two (2) high speed scanners and the accompanying software. The new scanners will replace two (2) scanners currently in use in the Department of Revenue's Modernized Front End Scanning and Imaging System. The current scanners were purchased in 2005. (EQ) *Dept. of Rev. Priority #1*
- 9. Capitol and Capitol Annex Terrace Repairs** **\$2,518,000**  
 This project would make repairs to the Capitol Terrace and the Capitol Annex Terrace to address leaks into the occupied and unoccupied areas underneath the terraces. (C-PI) *FSS Priority #7*  
CPAB Staff Notes
- *This project has appeared in two previous capital plans for the Cabinet, but has not received a budget authorization.*
  - *In the Cabinet's last plan, project was ranked as Cabinet Priority #6.*
- 10. Halon System Replacement** **\$1,500,000**  
 Finance Facilities' current Halon systems will become obsolete in 2012 and EPA regulations will allow for recurring fines if these systems are not replaced or upgraded. (C-PI) *FSS Priority #8*  
CPAB Staff Notes
- *Halon is a CFC gas that was used in fire suppression systems - especially where expensive electronics were used since it does not damage the electronics. It is a CFC (like Freon) and can damage the ozone layer.*
- 11. Kentucky IT Portfolio Planning System (KIPPS)** **\$3,520,000**  
 The proposed Kentucky IT Portfolio Planning System (KIPPS) initiative will not only help the Commonwealth manage a wide range of business processes, integrate product development activities, and facilitate capital project planning and management, it will also enable the Commonwealth to determine in a timely manner, the progress of IT initiatives within the portfolio. In addition, KIPPS will empower the Commonwealth to link ideas and strategies with necessary project data, workflows, and business processes to manage individual IT projects smoothly from start to finish. (IT) *COT Priority #3*
- 12. Upgrade Data Center Readiness** **\$5,000,000**  
 Data Center readiness assessments were performed to prepare the Commonwealth Data Center (CDC) for consolidation of distributed agency IT data centers. It was assessed at a Tier II level based on the Uptime Institute Classification Tiers. COT desires to increase to Tier Level III. A Tier II has redundancy of major components, but has a single point of failure and lacks the full redundancy of the power and cooling distribution typically associated with newer and more highly reliable data centers. Focus areas for CDC include upgrades for architectural, fire protection, mechanical, electrical, security, environmental monitoring, cable plants, and fitments of an alternate data center. As IT consolidation progresses, it is critical to support and maintain a data center that provides high reliability of IT services. The upgrade areas

described above will ensure the Commonwealth Enterprise class data center provides agencies and citizens high reliability and availability of business services. (C-PI) *FSS Priority #10*

- 13. Renovate 3rd Floor for Archival Space - L&A** **\$620,000**  
This project will add climate control to 3rd floor of Libraries and Archives building for storage of archived materials. (C-PI) *FSS Priority #9*
- 14. FileNet Upgrade to P-8 Platform** **\$600,000**  
FileNet is an application comprised of both hardware and software, which allows the Department of Revenue to access the content of tax returns, forms, correspondence, etc., received from the taxpayer or his/her representative. Currently, the Department of Revenue is running version 4.3 of this product. The most current version is P-8. The system needs to be updated to the new platform to maintain functionality and support. (IT) *Revenue Priority #2*
- 15. Parking Garage Repairs - Various** **\$2,151,000**  
This project would make necessary repairs to state owned parking structures, which have experienced some deterioration and are in need of repairs. The Department has had consultants perform condition assessments on these facilities, and this budget item is based on those assessments and their recommendations. This work will include, but not necessarily be limited to, garages in Owensboro, Covington, Frankfort, and Lexington. (C-PI) *FSS Priority #11*
- 16. Modernized E-File** **\$600,000**  
Modernized E-file is a new electronic filing platform currently being developed by the Internal Revenue Service for Individual Income Tax returns. The Department of Revenue will implement E-file for Individual Income Tax effective August 2011. (EQ) *Dept. of Revenue Priority #3*
- 17. Renewal of the Capital Plaza Complex** **\$117,677,000**  
In the 2006-2008 Budget, the General Assembly authorized a project to design the renovation of the Capital Plaza Complex. A consulting firm was hired to perform the design, and one of their first efforts was to perform a facility assessment. During that assessment, it became apparent to them that a renovation of the existing facility would not be the most cost effective long term strategy. In their initial presentation of their findings they recommended that an alternate approach be investigated. This approach would replace the existing tower with a new, modern, much more efficient 270,000-square-foot office building, provide additional green space, demolish the overpasses on Mero and Clinton streets, remove the pavers on the plaza level, provide new parking structures, and demolish the fountain place shops plaza and garage. This project request is for the first phase of that project. The first phase includes the following: Construct a 520 parking space addition to TCOB garage, Demolish Office Tower Garage and Plaza, Demolish Mero and Clinton Streets Plaza, and Construct Shell of the new 270,000-square-foot office building. (C-O) *FSS Priority #6*

- 18. Upgrade Capitol Campus** **\$4,500,000**  
This project is to make necessary repairs and upgrades on the Capitol Campus. (C-PI)  
*FSS Priority #12*
- 19. Air Handler Replacement** **\$600,000**  
The Department for Facilities and support Services manages numerous properties across the state. Many of those properties have aging equipment including air handlers. This project would make necessary repairs to those air handlers improving their operability, increasing their efficiency and providing enhanced occupant comfort. (C-PI) *FSS Priority #13*
- 20. Chiller Replacement/Rebuild Various** **\$1,000,000**  
Finance Facilities has numerous chillers that are fast approaching life cycle refurbish/replacement age. DFSS intends to refurbish in lieu of replacing where economically possible. (C-PI) *FSS Priority #14*
- 21. Upgrade L&N Building** **\$4,375,000**  
This project is to upgrade systems in the L&N Building in Louisville. The building has 295,657 gross square feet. (C-PI) *FSS Priority #15*
- 22. Replace Cooling Tower at Central Lab** **\$1,000,000**  
This project will replace cooling towers at the Central Lab in Frankfort. These cooling towers need to be replaced due to age and deterioration of structure. The existing cooling towers will be replaced with more energy efficient equipment. (C-PI)  
*FSS Priority #16*
- 23. Replace VAV's Frankfort Buildings** **\$2,800,000**  
This project will replace the current VAV's at the Central Lab in Frankfort. (C-PI)  
*FSS Priority #17*
- 24. Statewide Roof Repair/Replacement** **\$750,000**  
Funding will cover various failing roofs of Finance owned buildings throughout the Commonwealth. Various roofs are reaching their expected life and failing beyond repair. (C-PI) *FSS Priority #18*
- 25. Renovate Old Capitol/Capitol Annex** **\$2,500,000**  
The funds will be used for interior and exterior renovations to the Old Capitol and Old Capitol Annex. The Old State Capitol (c. 1826) and Old State Capitol Annex (c. 1852) are in need of significant restorations. This project would include restoring windows for both buildings, completing all exterior restorations needed, providing a preservation master plan for both buildings, and all interior decorative finishes and plasterwork. The Old State Capitol is one of less than forty National Landmark buildings in Kentucky and is a crucial component in Kentucky's political and social history. (C-PI) *FSS Priority #19*

- 26. Deferred Maintenance for Historic Properties** **\$675,000**  
All of the buildings under Historic Properties purview have documented various exterior and interior restoration and repair needs. The funds requested would be utilized for restoration projects such as: New Capitol House and Senate Restoration, Executive Mansion (1st Floor fixture restoration), Berry Mansion (Art Glass Restoration), Old State Capitol restoration work, and other documented projects. (C-PI) *FSS Priority #20*
- 27. Modernize Elevator and Misc Escalator Upgrades** **\$1,500,000**  
This project will address that renovation and upgrade miscellaneous elevators and escalators in Finance Cabinet owned buildings. (C-PI) *FSS Priority #21*
- 28. House and Senate Chambers Restoration** **\$2,915,000**  
Funds will be used to restore both House and Senate Chambers. In 2003, the architecture firm of K. Norman Berry Associates completed Phase A and B design for a total renovation of the House and Senate Chambers. A portion of the renovation involved replacing the chandeliers in both chambers. The original estimates were completed in 2003 but have been updated to reflect 2010 costs. (C-PI) *FSS Priority #22*
- 29. Capitol Campus Security System Upgrades** **\$1,000,000**  
This project is to improve electronic security in the buildings on the Capitol Campus. It will upgrade electronic security software and hardware at various buildings on the campus and install latest technology systems throughout facilities. Continue to expand electronic security and monitoring systems within Finance facilities. (C-PI) *FSS Priority #23*
- 30. Emergency Generator Replacement** **\$600,000**  
The Department for Facilities and support Services manages numerous properties across the state. Emergency generators serve many of those properties. This project would make necessary repairs to those generators, improving their operability and enhancing life safety. (C-PI) *FSS Priority #24*
- 31. Acquire Land/Demolish Structures-Statewide** **\$7,500,000**  
The Franklin County leased space report determined that the Commonwealth needed to reduce its dependence upon privately owned leased space. It also allows for acquisition of properties throughout the state. (C-PI) *FSS Priority #25*
- 32. Statewide Parking Lot Improvement** **\$600,000**  
Funding will provide the maintenance needed to patch, seal, repaint, or repave various parking lots of Finance owned properties across the Commonwealth, which are in need of repair or replacement. (C-PI) *FSS Priority #26*

- 33. Capitol Landscaping** **\$1,200,000**  
The Capitol Master Landscape Plan will be expanded to reflect the apparent design philosophy of the Olmsted Plan. A memorial park and public access area is proposed near the Capitol, Shelby Street, and State Street. Park to consist of walking paths, sitting areas, and native trees and plants. (C-PI) *FSS Priority #27*
- 34. Design Sower Blvd Office Complex** **\$5,225,000**  
This project is for design completion of three 127,000+-gross-square-foot office buildings. They are proposed to be built in Frankfort in a campus setting, but could stand alone or in combination with other buildings. This will allow the state to consolidate agencies from leased office space throughout Franklin County. Now, State Government leases approximately 1.707 million square feet of office space from the private sector for state agencies in Franklin County. Construction of this building will reduce the Commonwealth's reliance upon leased space. (C-O) *FSS Priority #28*
- 35. Automated Building Controls Upgrade** **\$1,000,000**  
The Department for Facilities and Support Services manages numerous properties across the state. Many of those properties have HVAC equipment that has automated building controls. This project would make upgrades to those controls increasing energy efficiency and providing enhanced occupant comfort. (C-PI) *FSS Priority #29*
- 36. Statewide Carpet Replacement** **\$1,000,000**  
Many Finance owned buildings are in the 20, 30, and even the 40 year mark of operation. The majority of the carpet in these buildings is reaching or is past life expectancy and starting to fail. This presents a safety hazard to the occupants and visitors in the buildings identified as a trip hazard. The majority of the carpet in question is the rolled carpet. Over the years as minor construction or modifications to the tenant spaces are made, we generally upgrade the carpet as well. Facilities has implemented the use of carpet squares whenever possible. Carpet squares provide easier maintenance, clean up, and easy installment for in-house labor, is much more practicable for our needs in the buildings along with providing a safer atmosphere for our tenants. This project would provide funding to upgrade carpet throughout Finance owned buildings. (C-PI) *FSS Priority #30*

**Proposed Projects Involving the General Fund (Cash or Bonds) – cont'd**  
 (projects listed in alphabetical order)

**2014-2016**

|  |             |
|--|-------------|
| Acquire Land/Demolish Structures-Statewide       | \$7,500,000 |
| Air Handler Replacement                          | 1,300,000   |
| Automated Building Controls Upgrade              | 1,000,000   |
| Capital Const. & Equip Purchase Contingency Fund | 12,500,000  |
| Chiller Replacement/Rebuild - Various            | 1,000,000   |
| Construct Sower Blvd Office Complex #1           | 91,083,000  |
| Cooling Tower Replacement Central Lab            | 1,000,000   |
| Deferred Maintenance for Historic Properties     | 675,000     |
| Design Capitol Annex Addition & Renovation       | 4,265,000   |
| Design Completion of Capitol Restoration         | 3,429,000   |
| Emergency Generator Replacement                  | 600,000     |
| Emergency Repair, Maintenance & Replacement Fund | 12,500,000  |
| Enterprise Identity and Access Management        | 8,745,000   |
| Kentucky IT Portfolio Planning System (KIPPS)    | 3,520,000   |
| Maintenance Pool-Statewide Deferred              | 12,500,000  |
| Miscellaneous Maintenance Pool, 2014 – 2016      | 8,000,000   |
| Modernize Escalator & Misc Elevator Upgrades     | 2,000,000   |
| Renewal of the Capital Plaza Complex             | 36,992,000  |
| Repair Site Infrastructure Capital Plaza Complex | 2,500,000   |
| Replace VAV's Frankfort Buildings                | 2,800,000   |
| Statewide Carpet Replacement                     | 1,000,000   |
| Statewide Parking Lot Improvement                | 600,000     |
| Statewide Roof Repair/Replacement                | 750,000     |
| Upgrade Capitol Campus                           | 5,500,000   |

**2016-2018**

|  |             |
|--|-------------|
| Acquire Land/Demolish Structures-Statewide       | 7,500,000   |
| Air Handler Replacement                          | 900,000     |
| Capital Const.& Equip. Purchase Contingency Fund | 12,500,000  |
| Chiller Replacement/Rebuild – Various            | 1,000,000   |
| Construct Capitol Annex Addition & Renovation    | 92,170,000  |
| Construct Restoration/Renovation Capitol         | 110,031,000 |
| Cooling Tower Replacement Central Lab            | 1,000,000   |
| Emergency Generator Replacement                  | 600,000     |
| Emergency Repair, Maintenance & Replacement Fund | 12,500,000  |
| Maintenance Pool-Statewide Deferred              | 12,500,000  |
| Miscellaneous Maintenance Pool, 2016 – 2018      | 8,000,000   |
| Modernize Escalator & Misc Elevator Upgrade      | 2,000,000   |
| Repair Site Infrastructure Capital Plaza Complex | 2,500,000   |
| Statewide Carpet Replacement                     | 1,000,000   |
| Statewide Parking Lot Improvements               | 600,000     |
| Upgrade Capitol Campus                           | 4,000,000   |
| Upgrade CHR/HSB Campus                           | 3,000,000   |

**FINANCE AND ADMINISTRATION CABINET**  
**Proposed Projects NOT Involving the General Fund, Road Fund, or Agency Bonds**  
(amounts in **bold** are the total budget)

**2012-2014**

(Projects listed in alphabetical order)

|   |                          |
|---|--------------------------|
| Commonwealth IT Infrastructure Upgrade          | \$7,350,000 RF           |
| Guaranteed Energy Savings Performance Contracts | <b>50,000,000 OT-LTF</b> |

**2014-2016**

(Projects listed in alphabetical order)

|   |                          |
|---|--------------------------|
| Commonwealth IT Infrastructure Upgrade          | 7,350,000 RF             |
| Guaranteed Energy Savings Performance Contracts | <b>50,000,000 OT-LTF</b> |

**2016-2018**

(Projects listed in alphabetical order)

|   |                          |
|---|--------------------------|
| Commonwealth IT Infrastructure Upgrade          | 7,350,000 RF             |
| Guaranteed Energy Savings Performance Contracts | <b>50,000,000 OT-LTF</b> |